# MA3205 Set Theory

AY2022/23 Semester 1 · Prepared by Tian Xiao @snoidetx

## Morse-Kellev Set Rules

1. Everything is a class.

2. Every set is a class; every class is a collection of sets; a class is a **Relations and Functions** set if and only if it is a member of some class.

3. Every collection of sets is a class.

4. If A is a class and x is a set, then  $A \cap x$  is a set.

5. The image of a set under a function is a set.

6. If A and B are sets, then so are  $A, B, \cup A$  and  $\mathcal{P}(A)$ .

7. (Axiom of Choice) If  $\langle A_i : i \in I \rangle$  is any sequence of sets such that  $\forall i \in I \ [A_i \neq \emptyset], \text{ then } \prod_{i \in I} A_i \neq \emptyset.$ 

8. (Axiom of Infinity)  $\mathbb{N}$  is a set.

9. (Axiom of Extensibility)  $A = B \Leftrightarrow \forall x \ [x \in A \Leftrightarrow x \in B]$ .

## Set Operations

 $Subset \subset$ 

**D1.6.**  $A \subseteq B$  if  $\forall x \ [x \in A \Rightarrow x \in B]$ .

## Empty Set $\emptyset$

**D1.7.** A set x is empty if  $\forall y \ [y \notin x]$ . **F1.8.** If  $x = \emptyset$  and A is any collection, then  $x \subseteq A$ . **F1.9.** If x and y are empty sets, then x = y.

Union  $\cup$  and Intersection  $\cap$ 

$$\mathbf{D1.11.} \begin{cases} x \cup y = \{z : z \in x \lor z \in y\} \\ x \cap y = \{z : z \in x \land z \in y\} \end{cases}$$
$$\mathbf{D1.13.} \begin{cases} \bigcup A = \{x : \exists y \ [y \in A \land x \in y]\} \\ \bigcap A = \begin{cases} 0 & \text{if } A = \emptyset; \\ \{x : \forall y \ [y \in A \Rightarrow x \in y]\} \end{cases} \text{ otherwise.}$$

Other Operators  $\backslash, \triangle, \mathcal{P}$ 

**D1.11.** 
$$\begin{cases} x \setminus y = \{z : z \in x \land z \notin y \\ x \triangle y = x \setminus y \cup y \setminus x \\ \mathcal{P}(x) = \{z : z \subseteq x\} \end{cases}$$

Commutativity	$x\cup y=y\cup x$
	$x\cap y=y\cap x$
Associativity	$x \cup (y \cup z) = (x \cup y) \cup z$
	$x\cap (y\cap z)=(x\cap y)\cap z$
Distributivity	$x \cup (y \cap z) = (x \cup y) \cap (x \cup z)$
	$x \cap (y \cup z) = (x \cap y) \cup (x \cap z)$
De Morgan	$x \backslash (y \cup z) = (x \backslash y) \cap (x \backslash z)$
	$x \backslash (y \cap z) = (x \backslash y) \cup (x \backslash z)$

 $x \triangle \emptyset = x; x \triangle x = \emptyset$ E1.16.  $x \triangle y = y \triangle x$  $(x \triangle y) \triangle z = x \triangle (y \triangle z)$ **E1.18.**  $x \cap (y \triangle z) = (x \cap y) \triangle (x \cap z).$ 

Ordered Pair  $\langle a, b \rangle$ 

**D2.1.** An ordered pair  $\langle a, b \rangle$  is the set  $\{\{a\}, \{a, b\}\}$ . **L2.2.**  $\langle x, y \rangle = \langle a, b \rangle \Leftrightarrow (x = a) \land (y = b).$ **D2.3.**  $A \times B = \{z : \exists a \in A \exists b \in B [z = \langle a, b \rangle]\}.$ 

# Relation R

**D2.6.** A relation R is a collection of ordered pairs ( $\forall x \in R \exists a \exists b \mid x =$  $\langle a, b \rangle$ ]) • R is a relation on A if  $R \subset A \times A$ . • dom(R) = { $a : \exists b [\langle a, b \rangle \in R]$ }. • ran(R) = { $b : \exists a [\langle a, b \rangle \in R]$ }. •  $R^{-1} = \{x : \exists a \exists b [\langle a, b \rangle \in R \land x = \langle b, a \rangle]\}.$ **F2.9.** If R is a relation and  $S \subseteq R$ , then S is a relation. **D2.10.** If R is a relation and A is any collection, then R restricted to  $A, R \upharpoonright A, \text{ is } R \cap (A \times \operatorname{ran} R).$ **D2.12.** Im<sub>R</sub>(A) = { $b : \exists a \in A [\langle a, b \rangle \in R]$ }. **L2.15.** Let R be a relation and A be a collection, then  $\text{Im}_B(|A|) =$  $\bigcup (I : \exists a \in A \ [I = \operatorname{Im}_{R}(a)]).$ **L2.16.** Let R be a relation such that  $\forall x, z \mid x \neq z \Rightarrow \operatorname{Im}_{R}(\{x\}) \cap$  $\operatorname{Im}_{B}(\{y\}) = \emptyset$ . Let A and B be any collections, then: •  $\operatorname{Im}_B(\bigcap A) = \bigcap \{I : \exists a \in A \ [I = \operatorname{Im}_B(a)]\}.$ •  $\operatorname{Im}_{R}(B \setminus A) = \operatorname{Im}_{R}(B) \setminus \operatorname{Im}_{R}(A).$ 

# Function f

**D2.8.** A function is a relation such that no two of its elements have the same  $1^{st}$  coordinate  $(\forall a, b, c [(\langle a, b \rangle \in f \land \langle a, c \rangle \in f) \Rightarrow b = c]).$ •  $f: A \to B$  if dom(f) = A and ran $(f) \subseteq B$ . **F2.9.** If f is a function and  $g \subseteq f$ , then g is a function. **F2.11.** If f is a function and A is any collection, then  $f \upharpoonright A$  is also a function. • If  $A \subset \text{dom}(f)$ , then  $\text{dom}(f \upharpoonright A) = A$ **D2.21.**  $X^Y = \{f : f \text{ is a function } \land f : Y \to X\}.$ 

# Inverse of Function $f^{-1}$

 $\{a: \exists b \in B \ [\langle a, b \rangle \in f]\}.$ 

**C2.17.** Let *f* be any function and *A* and *B* be any collections of sets. Then:

- $f^{-1}([]A) = []{I : \exists a \in A [I = f^{-1}(a).}$
- $f^{-1}(\bigcap A) = \bigcap \{I : \exists a \in A \mid I = f^{-1}(a).$
- $f^{-1}(B \setminus A) = f^{-1}(B) \setminus f^{-1}(A)$ .

Composite Function  $g \circ f$ 

**D2.18.** f composed with  $q, q \circ f = \{x : \exists a \exists b \exists c [(\langle a, b \rangle \in f) \land (\langle b, c \rangle \in f) \}$  $g) \land (x = \langle a, c \rangle)]\}.$ **L2.19.** Let f, q, h be functions, then:

- $q \circ f$  is a function.
- If  $f: A \to B$  and  $q: B \to C$ , then  $q \circ f: A \to C$ .
- (Associativity)  $h \circ (q \circ f) = (h \circ q) \circ f$ .

## Injection, Surjection and Bijection

**D2.20.** Let  $f : A \to B$  be a function, then: • (1-1)  $\forall a, a' \in A [f(a) = f(a') \Rightarrow a = a'].$ • (onto)  $\operatorname{ran}(f) = B$ . • (bijective) 1-1 and onto. **L2.22.** If  $f : A \to B$  is 1-1 and onto B, then  $f^{-1}$  is 1-1 and onto A.

# Directed Collection

**D2.39.** A collection G is called directed if

 $\forall a, b \in G \exists c \in G [a \subseteq c \land b \subseteq c]$ 

**L2.40.** Let G be a directed collection of functions, then  $f = \bigcup G$ is a function. Moreover,  $\operatorname{dom}(f) = \bigcup \{ \operatorname{dom}(\sigma) : \sigma \in G \}$  and  $\operatorname{ran}(f) = \bigcup \{ \operatorname{ran}(\sigma) : \sigma \in G \}.$ 

## Cartesian Product $\prod$

**Conv.** A function f such that  $\forall f \in I = \text{dom}(f) [f(i) = A_i]$  is equivalent as a sequence  $F = \langle A_i : i \in I \rangle$ .

**D2.36.**  $\prod F = \{ \text{func } f : \text{dom}(f) = I \land \forall i \in I \ [f(i) \in A_i] \}.$ 

**T2.46.** (*General Distributive Laws*) Let I be a set and  $\langle J_i : i \in I \rangle$  be a sequence of sets. Suppose that  $I \neq \emptyset$  and  $\forall i \in I \ [J_i \neq \emptyset]$ . For each  $i \in I$ , let  $\langle A_{i,j} : j \in J_i \rangle$  be a sequence of sets. Then:

$$\begin{split} \bigcup_{i \in I} \bigcap_{j \in J_i} A_{i,j} &= \bigcap \{ \bigcup_{i \in I} A_{i,f(i)} : f \in \prod_{i \in I} J_i \} \\ \bigcap_{i \in I} \bigcup_{j \in J_i} A_{i,j} &= \bigcup \{ \bigcap_{i \in I} A_{i,f(i)} : f \in \prod_{i \in I} J_i \} \\ \prod_{i \in I} (\bigcup_{j \in J_i} A_{i,j}) &= \bigcup \{ \prod_{i \in I} A_{i,f(i)} : f \in \prod_{i \in I} J_i \} \\ \prod_{i \in I} (\bigcap_{j \in J_i} A_{i,j}) &= \bigcap \{ \prod_{i \in I} A_{i,f(i)} : f \in \prod_{i \in I} J_i \} \end{split}$$

**T2.47.** Fix  $n \ge 1$ . Let X be a set and let  $A_1, A_2, \ldots, A_n$  be subsets **D2.14.** If f is a function and B is a collection,  $f^{-1}(B) = \text{Im}_{f^{-1}}(B) = \text{of } X$ . Then there are at most  $2^{2^n}$  different sets that can be formed from  $A_1, A_2, \ldots, A_n$  using the operations  $X \setminus \cdot, \cup$  and  $\cap$  (number of regions in a Venn diagram).

## **Russell's Paradox**

**T3.1.** (*Russell*)  $R = \{x : x \text{ is a set } \land x \notin x\}$  is not a set. **T3.3.**  $V = \{x : x \text{ is a set}\}$  is not a set. **E3.4.** If A and B are sets, then  $A \times B$  is also a set.

**E3.5.** If A and B are sets, then dom(A), ran(A),  $\bigcap A$ ,  $A^B$  are sets. **E3.6.** I is a set and  $\langle A_i : i \in I \rangle$  is a sequence. Then  $\prod A_i$  is a set.

**E3.7.** R and A are sets. If R is a relation, then  $Im_R(A)$  is a set. **E3.8.** The class  $\mathbf{U} = \{x : \exists a \exists b \ [x = \langle a, b \rangle]\}$  is a set. **E3.9.** If f is a function and dom(f) is a set, then f is a set. **E3.x.**  $\mathbb{U} = \{A : A \text{ is a set and } \mathbb{N} \approx A\}$  is not a set. Suppose  $\mathbb{U}$  is a set. Fix any  $x \in \mathbb{V}$ . Then x is a set, so  $A_x = \{x\} \times \mathbb{N}$  is a set.  $\mathbb{N} \approx A_x$  since  $\exists f(n) = \langle x, n \rangle$  that is bijective. For any  $x \in \mathbf{V}$ , we have  $x \in \{x\} \in \langle x, 0 \rangle \in A_x \in \mathbb{U}$ . Hence  $\mathbf{V} \subseteq \bigcup \bigcup \bigcup \bigcup$  contradiction.

#### The Natural Numbers

**F4.1.** (*Peano Axioms*) L4.6 + L4.7 + L4.14 + E4.15(6)

Natural Number Set  $\mathbb{N}$ 

**D4.3.** 0 is the empty set  $\emptyset$ .

**D4.2.**  $S(x) = x \cup \{x\}$ .  $1 = S(0) = \{0\}$ .

**D4.4.** A class A is called inductive if  $0 \in A$  and  $\forall x \in A [S(x) \in A]$ . A set n is called a natural number if it belongs to every inductive class.

 $0 \in \mathbb{N}$ L4.6.  $n \in \mathbb{N} \Rightarrow S(n) \in \mathbb{N}$ 

**L4.7.** If X is any set of natural numbers such that  $0 \in X$  and  $\forall x \in X [S(x) \in X]$ , then X is the set of all natural numbers.

**F4.8.** (*Principle of Mathematical Induction*) P is some property. Suppose that 0 has property P and  $\forall n \in \mathbb{N} \mid n \text{ has property } P \Rightarrow$ S(n) has property P. Then all natural numbers have property P.

```
\forall x \in n \ [x \subseteq n]
 L4.9. \langle n \subseteq \mathbb{N}
                 \forall x \ [(x \subseteq n \land x \neq \emptyset) \Rightarrow \exists m \in x \ [x \cap m = \emptyset]]
                    n \notin n
L4.10. \begin{cases} m \subseteq n \Rightarrow (m \in n \lor m = n) \\ (m \subseteq n \land n \in k) \Rightarrow m \in k \end{cases}
                    Either m = n or m \in n or n \in m.
 L4.11. Let X \subseteq \mathbb{N}. If X \neq \emptyset, then \exists n \in X [X \cap n = \emptyset].
 L4.14. \forall n, m \in \mathbb{N} \ [n \neq m \Rightarrow S(n) \neq S(m)].
```

Less Than Relation <

**D4.12.**  $\forall n, m \in \mathbb{N} [m < n \Leftrightarrow m \subset n].$ 

**F4.13.** (*Principle of Strong Induction*) P is some property. Suppose that  $\forall n \in \mathbb{N}$  [if P holds for all  $m \in \mathbb{N}$  less than n, then P holds for n]. Then P holds for all  $n \in \mathbb{N}$ .

 $\int m \in n \in k \Rightarrow m \in k$  $m \in n \in S(m)$  is impossible. **E4.15.**  $\begin{cases} n \neq 0 \Rightarrow n = S(\bigcup n) \\ n \le m \Leftrightarrow n \subseteq m \end{cases}$  $\max\left\{n,m\right\} = n \cup m$ Either n = 0 or  $\exists k \in n [S(k) = n]$ . then either  $X = \mathbb{N}$  or  $\exists n \in \mathbb{N} [X = n]$ .

## Extender **E**, Addition + and Multiplication $\cdot$

**D4.17.** Let **FN** denote the class of all functions whose domain is some natural number (**FN** is a proper class):

$$\mathbf{FN} = \{ \sigma : \sigma \text{ is a function} \land \exists n \in \mathbb{N} [\operatorname{dom}(\sigma) = n] \}$$

An extender is a function  $\mathbf{E}: \mathbf{FN} \to \mathbf{V}$ . **T4.19.** Suppose  $\mathbf{E} : \mathbf{FN} \to \mathbf{V}$  is any extender. Then  $\exists ! f : \mathbb{N} \to \mathbb{N}$  $\mathbf{V} \ [\forall n \in \mathbb{N} \ [f(n) = \mathbf{E}(f \upharpoonright n)]].$ **D4.25.** Define  $\mathbf{E}(\sigma) = \begin{cases} m & \operatorname{dom}(\sigma) = 0\\ S(\sigma(\bigcup \operatorname{dom}(\sigma)) & \operatorname{dom}(\sigma) \neq 0 \end{cases}$ .  $\exists ! f_m \text{ corresponds to } \mathbb{E}.$  Define  $m + n = f_m(n).$  $\text{Define } \mathbf{E}(\sigma) \ = \ \begin{cases} 0 & \text{dom}(\sigma) = 0 \lor \sigma(\bigcup \text{dom}(\sigma)) \notin \mathbb{N} \\ f_{\sigma(\bigcup \text{dom}(\sigma))}(m) & \text{dom}(\sigma) \neq 0 \land \sigma(\bigcup \text{dom}(\sigma)) \in \mathbb{N} \end{cases}$  $\exists ! g_m \text{ corresponds to } \mathbb{E}.$  Define  $m \cdot n = g_m(n)$ .

More generally, suppose we have a function  $f: \mathbb{N} \to B$  that is defined recursively as  $f(0) = b_0$  and f(n+1) = h(f(n)), then the extender corresponding to f should be defined as

		$dom(\sigma) = 0$ $dom(\sigma) \neq 0 \land \sigma(\bigcup dom(\sigma)) \in B$ $dom(\sigma) \neq 0 \land \sigma(\bigcup dom(\sigma)) \notin B$	
	$ \begin{pmatrix} n+1 = S(n) \\ \vdots \\ \vdots$	、 .	
	n + (m+k) = (n+m) + k		
	n+m=m+n		
E4.26.	$n+n=2\cdot n$		
	$2 \cdot n = 2 \cdot m \Rightarrow n = m$	<i>i</i>	
	$n \cdot (m+k) = n \cdot m + n \cdot k$		
E4.26. $\begin{cases} n+1 = S(n) \\ n + (m+k) = (n+m) + k \\ n+m = m+n \\ n+n = 2 \cdot n \\ 2 \cdot n = 2 \cdot m \Rightarrow n = m \\ n \cdot (m+k) = n \cdot m + n \cdot k \\ n \cdot (m \cdot k) = (n \cdot m) \cdot k \\ n \cdot m = m \cdot n \end{cases}$			
$n \cdot m = m \cdot n$			
E4.27.	$\begin{cases} n < k \Rightarrow m + n < m - m \\ m \neq 0 \land n < k \Rightarrow m \end{cases}$	+ k	
	$m \neq 0 \land n < k \Rightarrow m$ .	$n < m \cdot k$	

## Set Sizes

**D5.1.**  $A \approx B \Leftrightarrow \exists f : A \to B$  which is both 1-1 and onto. **F5.2.** For any set  $A, \mathcal{P}(A) \approx \{0, 1\}^A$ . **D5.4.**  $A \leq B$  if there exists  $f : A \rightarrow B$  which is 1-1. **L5.5.** If f and g are both 1-1, then  $g \circ f$  is also 1-1.  $A \lesssim A$ **L5.6.**  $\left\{ (A \lessapprox B \land B \lessapprox C) \Rightarrow (A \lessapprox C) \right\}$  $(A \approx B \land B \approx C) \Rightarrow (A \approx C)$ **T5.7.** (*Cantor*) For any set  $X, X \not\leq \mathcal{P}(X)$ . **D5.12.** (Schröder-Bernstein)  $A \leq B \land B \leq A \Rightarrow A \approx B$ .

**E4.16.**  $X \subseteq \mathbb{N}$ . Suppose X has the property that  $\forall n \in X \mid n \subseteq X$ , **E5.13.**  $f: X \to Y$  is a 1-1 function. Then  $\forall Z \subseteq X \mid Z \approx \operatorname{Im}_{f}(Z)$ . **E5.14.**  $I \subseteq A$  and  $J \subseteq B$ . If  $I \approx J$  and  $(A \setminus I) \approx (B \setminus J)$ , then  $A \approx B$ .

> f is  $1 - 1 \Rightarrow f$  is onto. **E5.15.**  $m, n \in \mathbb{N}$ .  $\begin{cases} m \in n \Rightarrow m \lessapprox n \\ x \subsetneq n \Rightarrow x \gneqq n \\ n \lessapprox \mathbb{N} \end{cases}$  $(A \approx n \land B \approx m \land A \cap B = \emptyset) \Rightarrow (A \cup B \approx n + m)$ **E5.16.** If  $n \in \mathbb{N}$  and  $A \approx S(n)$ , then  $\forall a \in A [A \setminus \{a\} \approx n]$ . **L5.20.** Suppose A and B are sets and  $f : A \to B$  is a 1-1 function. Then  $\forall X, Y \subset A [\operatorname{Im}_f(X) = \operatorname{Im}_f(Y) \Rightarrow X = Y].$  $A \lesssim B \Rightarrow \mathcal{P}(A) \lesssim \mathcal{P}(B)$ **L5.21.**  $\left\{ A \lessapprox B \Rightarrow A^C \lessapprox B^C \right\}$  $\left( (A \lessapprox B \land C \lessapprox D \land B \cap D = \emptyset) \Rightarrow A \cup C \lessapprox B \cup D \right)$ **L5.23.** If  $n \in \mathbb{N}$  and  $\exists$  onto function  $\sigma : n \to A$ , then  $A \leq n$ .

# Finite Set

**D5.19.** A is finite if  $\exists n \in \mathbb{N} \ [n \approx A]$ , otherwise it is infinite. A is countable if  $A \leq \mathbb{N}$ , otherwise it is uncountable.

**L5.22.** If  $n \in \mathbb{N}$  and  $A \leq n$ , then A is finite.

**L5.24.** If A and B are finite, then so is  $A \cup B$ .

**T5.25.** Let A be a finite set and f is a function with dom(f) = A, then:

•  $X \subseteq A \Rightarrow X \lneq A$ .

•  $\operatorname{ran}(f)$  is finite and  $\operatorname{ran}(f) \leq A$ .

• If  $\forall a \in A \ [a \ is \ finite]$ , then  $\bigcup A \ is \ finite$ .

•  $\mathcal{P}(A)$  is finite.

**E5.26.** If A is a finite non-empty subset of  $\mathbb{N}$ , then  $\max(A) = \bigcup A$ . **E5.27.**  $(A \leq C \land B \leq D) \Rightarrow (A \times B \leq C \times D).$ 

• If A and B are finite, then  $A \times B$  is finite.

• If A and B are finite, then  $A^B$  is finite.

**E5.28.** If I is finite and  $\forall i \in I [A_i \text{ is finite}]$ , then  $\prod A_i$  is finite.

**E5.30.** Suppose f is any function, then  $dom(f) \approx f$ .

## Legends

C	Corollary
D	Definition
E	Exercise
F	Fact
L	Lemma
Т	Theorem
Conv.	Convention